

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT D CODE		PAGE OF PAGES	
				1      4	
2. AMENDMENT/MODIFICATION NO. P00003		3. EFFECTIVE DATE See Block 16C		4. REQUISITION/PURCHASE REQ. NO. N/A	
5. PROJECT NO. (If applicable)		6. ISSUED BY CODE N00014		7. ADMINISTERED BY (If other than Item 6) CODE N66020	
Office of Naval Research Charlotte Luedeke, (703) 696-1973 charlotte.luedeke@navy.mil 875 N. Randolph Street Arlington VA 22203-1995		ONR REG ADMIN ATLANTA ATLANTA REGION OFFICE 100 ALABAMA STREET SW SUITE 4R15 ATLANTA GA 30303-3104			
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code)		9A. AMENDMENT OF SOLICITATION NO.			
CNA CORPORATION, THE Attn: Edward C. Gibson 3003 WASHINGTON BOULEVARD ARLINGTON VA 22201		(X)			
		9B. DATED (SEE ITEM 11)			
		X 10A. MODIFICATION OF CONTRACT/ORDER NO. N00014-16-D-5003 N00014-21-F-C741			
CODE 9B972      FACILITY CODE		10B. DATED (SEE ITEM 13) 07/23/2021			
<b>11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS</b>					
<input type="checkbox"/> The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers <input type="checkbox"/> is extended <input type="checkbox"/> is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.					
12. ACCOUNTING AND APPROPRIATION DATA (If required) N/A					
<b>13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.</b>					
CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.				
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).				
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:				
X	D. OTHER (Specify type of modification and authority) This bilateral modification is issued pursuant to FAR 43.103(a) and Mutual Agreement of the Parties.				
E. IMPORTANT    Contractor <input type="checkbox"/> is not, <input checked="" type="checkbox"/> is required to sign this document and return <u>1</u> copies to the issuing office.					
14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.) The purpose of this modification is to incorporate the contractor's First Addendum, Proposal No. DCD-2021-U-031161-Final, dated 12 November 2021. Accordingly, Section C has been revised to update SORN data.					
15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Tracie Simmons TEL: 703-696-7827      EMAIL: tracie.simmons@navy.mil			
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA (b) (6)		16C. DATE SIGNED 12/13/2021	
(Signature of person authorized to sign)					

## **Section C - Description/Specifications**

Clause titled **Statement of Work** is incorporated as follows:

### **ONR- Statement of Work**

This order is being issued in accordance with Section H-1, entitled "Orders" of the Indefinite Delivery Indefinite Quantity (IDIQ). The terms and conditions of the IDIQ are hereby incorporated by reference.

The Contractor shall conduct the work under CLIN 0001 in accordance with Proposal No. DCD-2021-U-029987-Final, dated 14 June 2021; and First Addendum, Proposal No. DCD-2021-U-031161-Final, dated 12 November 2021; under Project No. 0006.5489.E445.00.

The Contractor shall conduct the work under CLIN 0002 in accordance with Proposal No. DCD-2021-U-029987-Final, dated 14 June 2021; and First Addendum, Proposal No. DCD-2021-U-031161-Final, dated 12 November 2021; under Project No. 0006.5489.E445.20.

### **DATA COLLECTION**

a. Systems of Records. In order to conduct the work under project 0006.5489.E445.20, the Contractor requires information from the following Systems of Records, and shall establish appropriate administrative, technical, and physical safeguards to protect any and all Government data.

- DMDC 01, OSD, Defense Manpower Data Base (November 23, 2011, 76 FR 72391)
- DMDC 15 Armed Services Military Accession Testing (February 11, 2015, 80 FR 7580)
- N01070-3, Navy Military Personnel Records System (April 15, 2010, 75 FR 19627)
- N01080-2 Officer Master File Automated Systems (November 01, 2013, 78 FR 65620)
- N05220-1 Data Warehouse Business Intelligence System (DWBIS), (August 28, 2018, 83 FR 43857; corrected September 14, 2018, 83 FR 46711)
- N01080-1 Enlisted Master File Automated Systems (June 07, 2013, 78 FR 34354)
- M01040-3 Marine Corps Manpower Management Information System Records (April 29, 2010, 75 FR 22570)
- DMDC 02 DoD Defense Enrollment Eligibility Reporting Systems (DEERS) (December 2, 2019, 84 FR 65975)
- DoD 0006, Military Justice and Civilian Criminal Case Records (May 25, 2021, 86 FR 28086)
- F071 AF OSI D, Investigative Information Management System (I2MS) (August 28, 2006, 71 FR 50894)
- F031 AF SP E, Air Force Security Force Case Management System (SORN approval pending)
- A0027-10a DAJA Military Justice Files (October 27, 2014, 79 FR 63907)
- A0027-10b DAJA Courts-Martial Records and Reviews (December 08, 2000, 65 FR 77008)
- A0190-45 OPMG (CID), Criminal Investigation Command (CID) Information Management System Records (CIMS) (June 20, 2016, 81 FR 39912)
- N05520-4, NCIS Investigative Files System (October 13, 2009, 74 FR 52468)
- N05520-6 DoD, Law Enforcement Defense Data Exchange (May 06, 2010, 75 FR 24931)
- MJA00002, General Correspondence Files for Legal Administration (February 22, 1993, 58 FR 10630)
- MJA00003, Magistrate Court Case Files (August 17, 1999, 64 FR 44698)
- MJA00004, In Hands of Civil Authorities Case Files (August 17, 1999, 64 FR 44698)
- MJA00009, Marine Corps Command Legal Files (May 18, 1995, 60 FR 26723)
- N05800-1, Legal Office Litigation/Correspondence File (December 1, 2000, 65 FR 75258)
- N05801-2, Legal Assistance Management Information System (May 7, 1999, 64 FR

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24619)

- N05802-1, Fiduciary Affairs Records (February 22, 1993, 58 FR 10772)
- N05810-2, Military Justice Correspondence and Information File (January 8, 2001, 66 FR 132)
- N05813-2, Courts-Martial Case Report (February 22, 1993, 58 FR 10776)
- N05813-3, Records of Trial of General Courts-Martial (January 8, 2001, 66 FR 1321)
- N05813-4, Trial/Government Counsel Files (February 14, 2014, 79 FR 8946)
- N05813-5, Record of Trial of Special Courts-Martial Resulting in Bad Conduct Discharges or Concerning Officers (January 8, 2001, 66 FR 1321)
- N05813-6, Summary and Non-BCD Special Courts-Martial Records of Trial (January 8, 2001, 66 FR 1321)
- N05814-3, Courts-Martial Information (January 8, 2001, 66 FR 1321)
- N05814-4, Article 69(b) Petitions (January 8, 2001, 66 FR 1321)
- N05814-5, Article 73 Petitions for New Trial (January 8, 2001, 66 FR 1321)
- N05814-6, Appellate Case Tracking System (ACTS) (June 8, 1999, 64 FR 30496)
- N05891-1, JAG Litigation Case File (May 7, 1999, 64 FR 24619)
- DHS/USCG-008, Courts-Martial Case Files System of Records (June 10, 2019, 84 FR 20383)
- DHS/USCG-014, Military Pay and Personnel (October 28, 2011, 76 FR 66933)
- DHS/ALL-020, Department of Homeland Security Internal Affairs (April 28, 2014, 79 FR 23361)
- DHS/ALL-023, Personnel Security Management (October 13, 2020, 85 FR 64511)
- DHS/ALL-029, Civil Rights and Civil Liberties Records (July 8, 2010, 75 FR 39266)
- DHS/ALL-038, Insider Threat Program (March 10, 2020, 85 FR 13914)
- DHS/ALL-003, Department of Homeland Security General Training Records (November 25, 2008, 73 FR 71656)
- DHS/ALL-004, General Information Technology Access Account Records System (GITAARS) (November 27, 2012, 77 FR 70792)

b. The Contractor shall provide the Contracting Officer with the name and number of any additional DoD, or Government-wide, Systems of Records from which personally identifiable information (PII) about an individual will be retrieved by the individual's name, or by some other identifier that is unique to the individual. The system of record information shall be provided to the Contracting Officer prior to retrieval of any data from therein.

c. The Contractor shall provide the Contracting Officer with a copy of any written agreements (i.e. Data Use Agreements) required by and entered into with the System of Records Manager for each System of Records from which the Contractor obtains PII in performance of this contract.

#### ADDITIONAL CLAUSES

a. In addition to the clauses contained in the basic contract, the following Federal Acquisition Regulation(FAR) clauses are incorporated by reference with the same force and effect as if provided in full text. Upon request, the Contracting Officer will make their full text available.

- 52.224-1 Privacy Act Notification (April 1984)
- 52.224-2 Privacy Act (April 1984)

b. The following Department of Defense (DoD) Directives are incorporated by reference with the same force and effect as if provided in full text. Upon request, the Contracting Officer will make their full text available.

- DoD 5400.11 Department of Defense Privacy Program (May 8, 2007)
- DoD 5400.11-R Department of Defense Privacy Program (May 14, 2007)
- DoD 6025.18-R DoD Health Information Privacy Regulation

## **Section J - List of Documents, Exhibits and Other Attachments**

Number	Title	Number of Pages
4	N00014-21-F-C741 Contractor Signed SF30; P00003	1

Except as modified herein, all other terms and conditions remain unchanged and in full force and effort under award number N00014-16-D-5003/N00014-21-F-C741.